ICO4 Rec'd PCT/RTO 05 JUL 2005

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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ATTORNEY'S DOCKET BUSINESS 4 ys a valid QMB control number. DESIGNATED/ELECTED OFFICE (DO/EO/US) 095309.56500US **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** U.S. APPLICATION NO. (If known, see 37 CFR 1.5) INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/EP2003/014313 **December 16, 2005** 1/2/03 TITLE OF INVENTION EXHAUST GAS AFTERTREATMENT INSTALLATION AND METHOD APPLICANT(S) FOR DO/EO/US Brigitte BANDL-KONRAD, Dr. Andreas HERTZBERG, Dr. Bernd KRUTZSCH, Arno NOLTE, Markus PAULE, Stefan RENFFTLEN, Norbert WALDBUSSER, Dr. Michael WEIBEL, Günter WENNINGER, Dr. Rolf WUNSCH Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. Is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98, including Form PTO-1449, International Search Report and four (4) cited references 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. (Pages: Five (5)) 15. A substitute specification. (Marked-Up and Clean Version) 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

Other items or information: Form PCT/IB/308, Three (3) sheet of drawings containing Figures 1-5

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JC20 Rec'd PCT/PTO 0 5 JUL 2005

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) ATTORNEY'S DOCKET NUMBER PCT/EP2003/014313 095309.56500US CALCULATIONS PTO USE ONLY The following fees have been submitted Basic national fee\$300.00 \$300.00 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)\$100.00 \$200.00 All other situations\$200.00 Search fee Search fee (37 CFR 1.44(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared and provided to the Office\$400 \$400.00 TOTAL OF 21, 22 AND 23 = \$900.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction Extra Sheets Total Sheets RATE thereof (round up to a whole number) \$ 8 - 100 0 / 50 = 0 x 250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$130.00 claimed priority date (37 CFR 1.492(h)). **CLAIMS NUMBER FILED** NUMBER EXTRA Total claims 6 - 20 50 Independent claims 1 - 3 0 200 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) 360 \$ TOTAL OF ABOVE CALCULATIONS = \$1,030.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = \$1,030.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$1,030.00 Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property **TOTAL FEES ENCLOSED =** \$ Amount to be refunded: Amount to be charged: A check in the amount of \$1,030.00 to cover the above fees is enclosed. Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.56500US) in the amount of \$\\$ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 095309.56500US). A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. / Evereor SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP Intellectual Property Group SIGNATURE P.O. Box 14300 Donald D. Evenson Washington, D.C. 20044-4300 26,160 Tel. No. (202) 624-2500 REGISTRATION NUMBER

DDE:arr FORM PTO-1390 (REV. 02-2005)

Fax No. (202) 628-8844

July 5, 2005 DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

(To Be Assigned)

Confirmation No. : (TBA)

PCT/EP2003/014313

First Named Inventor

Andreas HERTZBERG

Filed

July 5, 2005 DUE DATE: July 2, 2005 (Saturday)

TC/A.U.

: (To Be Assigned)

Examiner Docket No.

(To Be Assigned) 095309.56500US

Docket No. Customer No.

: 23911

Title

Exhaust Gas Aftertreatment Installation and Method

SUBMISSION OF SUBSTITUTE SPECIFICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached is a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

July 5, 2005

DUE DATE: July 2, 2005 (Saturday)

Donald D. Evenson

Registration No. 26,160

CROWELL & MORING LLP Intellectual Property Group P.O. Box 14300 Washington DC 20044-4300

Washington, DC 20044-4300

Telephone No.: (202) 624-2500 Facsimile No.: (202) 628-8844

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